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PATENT
Customer No. 22,852
Attorney Docket No. 03715.0153

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Claude MONNERET et al.) Group Art Unit: 1625
)
Application No.: 10/576,201) Examiner:
)
Filed: April 17, 2006)
)
For: 9-AMINO-PODOPHYLLOTOXIN) Confirmation No.: 8802
)
DERIVATIVES, METHOD FOR
THE PRODUCTION THEREOF
AND PHARMACEUTICAL
COMPOSITIONS CONTAINING
SAID DERIVATIVES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the enclosed international search report. Copies of the listed foreign patent documents and non patent literature documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

The following is listed on the accompanying PTO/SB/08 and is in a non-English language:

1. International Publication No. WO 97/13776 - An English-language translation of this document is also enclosed.


This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please
charge the fee to our Deposit Account No. 06-0916.

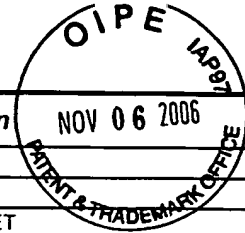
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 6, 2006

By:  338
Ernest F. Chapman
Reg. No. 25,961

Enclosures
EFC/FPD/gah



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|---|---|----|--------------------------|------------------------|------------|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO | | | Complete if Known | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Application Number | 10/576,201 | |
| | | | Filing Date | April 17, 2006 | |
| | | | First Named Inventor | Claude MONNERET | |
| | | | Art Unit | 1625 | |
| | | | Examiner Name | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 03715.0153 |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | |
|---|--------------------------|--|--|--|---|
| Examiner Initials | Cite No. ¹ | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | | US-3,634,459 | 01-11-1972 | von Wartburg et al. | |
| | | US-5,541,223 | 07-30-1996 | Lee et al. | |
| | | US-5,536,847 | 07-16-1996 | Terada et al. | |
| | | US- | | | |
| | | US- | | | |
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Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|--------------------------------|---|--|--------------------------|
| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | WO-97/13776 | 04-17-1997 | PIERRE FABRE MEDICAMENT | | Yes |
| | | WO-90/09788 | 09-07-1990 | THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL | | |
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| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|--------------------------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
| | | CARMICHAEL et al.; "EVALUATION OF A TETRAZOLIUM-BASED SEMIAUTOMATED COLORIMETRIC ASSAY: ASSESSMENT OF CHEMOSENSITIVITY TESTING"; Cancer Research, Vol. 47, pages 936-942, February 15, 1987 | |
| | | CHO et al.; "ANTITUMOR AGENTS. 163. THREE-DIMENSIONAL QUANTITATIVE STRUCTURE- ACTIVITY RELATIONSHIP STUDY OF 4'-O-DEMETHYLEPIPODOPHYLLOTOXIN ANALOGS USING THE MODIFIED CoMFA/q ² -GRS APPROACH"; J. Med. Chem. Vol. 39, pages 1383-1395, (1996) | |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.